



IPW

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF : Guo Liu

FOR : ALUMINUM NITRIDE PASSIVATED PHOSPHORS  
FOR ELECTROLUMINESCENT DISPLAYS

SERIAL NO. : 10/736,020

FILED : December 15, 2003

EXAMINER : Unknown

ART UNIT : 1772

CONFIRMATION NO. : 4169

ATTORNEY DOCKET NO.: SMBZ 2 01016  
6865-312

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicants submit the following Disclosure Statement concerning art of which the applicants are aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

**Certificate of First Class Mailing**

I hereby certify that this Information Disclosure Statement and PTO 1449 form are being deposited with the United States Postal Service as first class mail in an enveloped addressed to: Mail Stop – Amendment, Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450.

  
Cathryn Terchek

Date: 

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

In accordance with the United States Patent and Trademark Office OG Notice dated 05 August 2003, waiving the requirement under 37 CFR 1.98(a)(2)(i), for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003, Applicant has not enclosed copies of the cited U.S. patents with this Disclosure.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the cited patents require no further explanation (or no translation is available).

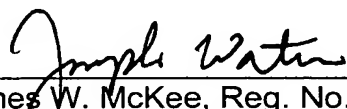
Under § 1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. A copy of the PCT International Search Report is attached. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

It is respectfully requested that the attached documents be considered and officially cited in examination of this application.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & MCKEE, LLP

Date: May 11, 2004

  
James W. McKee, Reg. No. 26,482  
Joseph E. Waters, Reg. No. 50,427  
1100 Superior Avenue  
Seventh Floor  
Cleveland, Ohio 44114-2518  
216.861.5582

Substitute for form 1449/PTO <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT(S)</b> (Use as many sheets as necessary)	<b>COMPLETE IF KNOWN</b>	
	Application Number	10/736,020
	Filing Date	December 15, 2003
	First Named Inventor	Guo Liu
	Art Unit	1772
	Examiner Name	Unknown
Sheet 1 of 1	Attorney Docket No.	SMBZ 2 01016 6865-312

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document No. Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-			
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	AL	JP 1232695	09/18/1989	Matsushita Electric Ind. Co. Ltd.	English Abstract	
	AM	JP 1017395	01/20/1989	Matsushita Electric Ind. Co. Ltd.	English Abstract	
	AN	DE 3712855	04/07/1988	Matsushita Electric Ind. Co. Ltd.		
	AO	JP 3022392	01/30/1991	Matsushita Electric Ind. Co. Ltd.	English Abstract	
	AP	JP 2103894	04/16/1990	Ricoh Co. Ltd.	English Abstract	
	AQ	JP 4264392	09/21/1992	Clarion Co. Ltd.	English Abstract	

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published	T
	AR		
	AS		
	AT		
	AU		
	AV		

N:\SMBZ\201016\CNT0000701V001.doc

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

N:\SMBZ\201016\CNT0000701V001.DOC